



RCE/2800

Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

Application No. 09/654,315
Filing Date September 1, 2000
First Named Inventor Robert S. Chau
Group Art Unit 2811
Examiner Name Tran, Thien F.
Attorney Docket No. 42390P4222D2

1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____

- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☒ Other - Copies of cited references (6)

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months. Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other _____

3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-2666
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Processing fee under 37 CFR § 1.17(i) for Limited Suspension of Action
- iv. ☐ Other _____
- b. ☒ Check in the amount of \$ 750.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type) Heather M. Molleur Registration No. (Attorney/Agent) 50,432
Signature Heather Molleur Date 02/12/03

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type) Claire Wallters
Signature Claire Wallters Date 2/12/2003

02/20/2003 SSESHE1 00000060 09654315

01 FC:1801

750.00 OP

Match and Return

05/22/02

FEE TRANSMITTAL FOR FY 2003			
TOTAL AMOUNT OF PAYMENT (\$)			750.00
Complete if Known:			
Application No.	09/654,315		
Filing Date	September 1, 2000		
First Named Inventor	Robert S. Chau		
Group Art Unit	2811		
Examiner Name	Tran, Thien F.		
Attorney Docket No.	42390P4222D2		
METHOD OF PAYMENT (check one)			
1.	<input type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:	
		Deposit Account Number	_____
		Deposit Account Name	_____
	<input checked="" type="checkbox"/>	Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, and charge any deficiencies to Deposit Account Number 02-2666, and credit any over payments to Deposit Account Number 02-2666	
	<input type="checkbox"/>	Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)	
2.	<input checked="" type="checkbox"/>	Payment Enclosed	3. <input type="checkbox"/> Applicant claims small entity. See CFR 1.27
	<input checked="" type="checkbox"/>	Check	
	<input type="checkbox"/>	Money Order	
	<input type="checkbox"/>	Other	
FEE CALCULATION (fees effective 01/01/03)			
1. FILING FEE			
Large Entity		Small Entity	
Fee	Fee	Fee	Fee
Code (\$)	Code (\$)	Code (\$)	Code (\$)
1001 750	2001 375	Fee Description	Fee Paid
1002 330	2002 165	Utility application filing fee	_____
1003 520	2003 260	Design application filing fee	_____
1004 750	2004 375	Plant filing fee	_____
1005 160	2005 80	Reissue filing fee	_____
		Provisional application filing fee	_____
SUBTOTAL (1)			\$ 0
2. CLAIMS			
	Extra	Fee from below	Fee Paid
Total Claims	- 20 = _____	X _____	= _____
Independent Claims	- 3 = _____	X _____	= _____
Multiple Dependent Claims	_____	X _____	= _____
Large Entity		Small Entity	
Fee	Fee	Fee	Fee
Code (\$)	Code (\$)	Code (\$)	Code (\$)
1202 18	2202 9	Fee Description	Fee Paid
1201 84	2201 42	Claims in excess of twenty	_____
1203 280	2203 140	Independent claims in excess of 3	_____
1204 84	2204 42	Multiple dependent claim	_____
1205 18	2205 9	Reissue independent claims over original patent	_____
		Reissue claims in excess of 20 and over original patent	_____
SUBTOTAL (2)			\$ 0

FEE CALCULATION (continued)
3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1813	8,800	1813	8,800	For filing a request for ex parte reexamination	
1251	110	2251	55	Extension for response within first month	
1252	410	2252	205	Extension for response within second month	
1253	930	2253	465	Extension for response within third month	
1254	1,450	2254	725	Extension for response within fourth month	
1255	1,970	2255	985	Extension for response within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	For filing a submission after final rejection	
1814	110	2814	55	Statutory Disclaimer	
1810	750	2810	375	For each additional invention to be examined	
1801	750	2801	375	Request for Continued Examination (RCE)	750.00
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee for early, voluntary, or normal publication	
1505	300	1505	300	Publication fee for republication	
1803	130	1803	130	Request for voluntary publication or republication	
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
1454	1,300	1454	1,300	Acceptance of unintentionally delayed claim for priority	
Other:					
Other:					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ **750.00**

SUBMITTED BY:

Typed or Printed Name: Heather M. Molleur

Signature Heather Molleur Date 02/12/03

Reg. Number 50,432 Customer No. _____ (complete if applicable)

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner For Patents, Box RCE, Washington, D.C. 20231 on February 12, 2003.

Claire Wallters
Name of Person Mailing Correspondence
Claire Wallters 2/12/2003
Signature Date